(1390 REV. 5-93) US DEPT. OF COMMERCE PATENT & TRADEMARK OFFICE

## TRANSMITTAL LETTER TO THE **UNITED STATES** DESIGNATED/ELECTED OFFICE

(DO/EO/US) CONCERNING A FILING **UNDER 35 U.S.C. 371** 

A SECOND or SUBSEQUENT preliminary amendment.

Entitlement to small entity status is hereby asserted.

Other-items or information: PCT Request

A substitute specification.

14.

15. 16. U.S. APPLICATION NO. (if known, sec 37 C.F.R.1.5)

107286

09/700915

INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED March 23, 1999 PCT/JP00/01787 March 23, 2000 TITLE OF INVENTION OPTICAL SIGNAL TRANSMISSION SUBSTRATE AND DEVICE APPLICANTS FOR DO/EO/US Tatsuya SHIMODA, Satoshi INOUE Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371. 2. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than 3. delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). A proper Demand for International Preliminary Examination was made by the 19th month from the earliest 4. claimed priority date. ⋈ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. | is transmitted herewith (required only if not transmitted by the International Bureau). 1, [25 c.  $\Box$  is not required, as the application was filed in the United States Receiving Office (RO/US) 2.23 A translation of the International Application into English (35 U.S.C. 371(c)(2)). 6. la si ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a.  $\square$  are transmitted herewith (required only if not transmitted by the International Bureau). Sup mil b.  $\square$  have been transmitted by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. 9. An oath or declaration of the inventors (35 U.S.C. 371(c)(4)). 10. 
A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11. to 16. below concern other document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. ☐ A FIRST preliminary amendment.

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U.S. APPLICATION NO. C.F.R. 1.5	Of Country 1 2 2 7	INTERNATIONAL APPLICATION NO. PCT/JP00/01787		ATTORNEY'S DOCKET NUMBER 107286		
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Independent Claims	1- 3 =	0	X \$ 80.00	\$		
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NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.						
SEND ALL CORRESPONDENCE TO: OLIFF & BERRIDGE, PLC P.O. Box 19928						
Alexandria, Virginia 22320 NAN					s A. Oliff ON NUMBER: 2	27,075
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(1390 Rev.10-00)

## **PATENT APPLICATION**

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Tatsuya SHIMODA et al.

Application No.: U.S. National Stage

of PCT/JP00/01787

Filed: November 22, 2000

Docket No.: 107286

OPTICAL SIGNAL TRANSMISSION SUBSTRATE AND DEVICE For:

#### PRELIMINARY AMENDMENT

Director of the U.S. Patent and Trademark Office Washington, D. C. 20231

Sir:

Prior to initial examination, please amend the above-identified application as follows:

#### IN THE CLAIMS:

Please amend claim 4 as follows:

Claim 4, line 3, change "any of claims 1 through 3" to -- claim 1 --.

Please add claims 8 and 9 as follows:

An optical signal transmission device composed by laminating a plurality of -- 8. the optical signal transmission substrates as stated in claim 2 in such a manner that the optical signal transmission areas of the respective substrates overlap one another, wherein the light receiving element is located in any one of the optical signal transmission substrates so as to be opposed to the light emitting element provided in any one of the other optical signal transmission substrates. --

-- 9. An optical signal transmission device composed by laminating a plurality of the optical signal transmission substrates as stated in claim 2 in such a manner that the optical signal transmission areas of the respective substrates overlap one another, wherein the light receiving element is located in any one of the optical signal transmission substrates so as to be opposed to the light emitting element provided in any one of the other optical signal transmission substrates. --

#### <u>REMARKS</u>

Claims 1-9 are pending. By this Preliminary Amendment, claim 4 is amended and claims 8 and 9 are added to eliminated multiple dependencies. Prompt and favorable examination on the merits is solicited.

Respectfully submitted,

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JAO:JSA/cmm

Date: November 22, 2000

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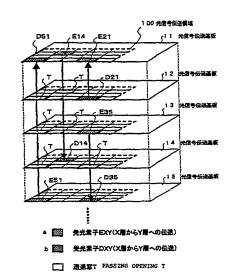
37森ビル803号室 TMI総合法律事務所 Tokyo,(JP)

(54)Title: OPTICAL SIGNAL TRANSMISSION BOARD AND APPARATUS

(54)発明の名称 光信号伝送基板および装置

#### (57) Abstract

An optical signal transmission board (1) has an optical signal transmission region (100) in which at least one of a light-emitting element (E) for sending an optical signal to another optical signal transmission board and a light-receiving element (D) for receiving an optical signal from another optical transmission board is so disposed as to send/receive an optical signal traveling generally perpendicularly to the surface of the board. An optical signal transmission apparatus is constituted by stacking such boards in such a way as to oppose a light-receiving element on an optical transmission board to a light-emitting element on another optical transmission board.



11...OPTICAL SIGNAL TRANSMISSION BOARD 12...OFTICAL SIGNAL TRANSMISSION BOARD 13...OFTICAL SIGNAL TRANSMISSION BOARD

14...OFTICAL SIGNAL TRANSMISSION BOARD 15...OPTICAL SIGNAL TRANSMISSION BOARD 100...OPTICAL SIGNAL TRANSMISSION REGION

A...LIGHT-EMITTING ELEMENT EXY (TRANSMISSION FROM X LAYER TO Y LAYER) b...LIGHT-RECEIVING ELEMENT DXY

ITRANSMISSION FROM Y LAYER TO X LAYER

# 09/700915 01 PET PET 22 NOV 2000

## OPTICAL SIGNAL TRANSMISSION SUBSTRATE AND DEVICE

### BACKGROUND OF THE INVENTION

### Field of the Invention

The present invention relates to an optical signal transmission substrate which uses optical signals as input and output information. More particularly, this invention relates to a substrate appropriate for high-density packaging of, for example, electronic computers, and also relates to an optical signal transmission device which utilizes such substrate.

## 15 Description of the Related Art

Regarding conventional devices such as electronic computers, a plurality of substrates necessary to compose circuits are mutually connected through electrical wiring by using conductive lines such as cables or wires. Signals sent and received between the substrates are electric signals which generate interface elements.

With some devices, such optical signal transmission is realized as a light emitting element is provided on one

transmission substrate for transmitting an optical signal, comprising an optical signal transmission area where at least one of a light emitting element for sending the optical signal to other optical signal transmission substrates or a light receiving element for receiving the optical signal from other optical signal transmission substrates is located so as to be capable of sending or receiving the optical signal in a direction substantially perpendicular to the surface of the substrate.

when the optical signal transmission substrate is used as it is held between the other optical signal transmission substrates, desirably, the optical signal transmission area of the optical signal transmission substrate is structured to comprise a transmittable window exhibiting light transmittability at the position where the optical signal transmitted between the other optical signal transmission substrates passes through.

It is desirable that the optical signal transmission substrate comprise electrodes at least at a pair of the edges of the substrate.

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This invention is an optical signal transmission device composed by laminating a plurality of optical signal transmission substrates as described above in such a manner that the optical signal transmission areas of the respective substrates overlap one another, wherein the light receiving element is located in any one of the optical signal transmission substrates so as to be opposed to the light emitting element provided in any one of the other optical signal transmission substrates.

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with this optical signal transmission device, it is desirable that the optical signal transmission substrate held between the optical signal transmission substrate provided with the light emitting element and the optical signal transmission substrate provided with the light receiving element comprise a transmittable window exhibiting light transmittability at the position where the optical signal passes which is transmitted between the light emitting element and the light receiving element.

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In order to enable high-density communication, it is desirable that plural sets of the light emitting element and the light receiving element for transmitting the optical signal be located along the optical axis of one optical signal.

In order to laminate the substrates, it is desirable that the optical signal transmission device comprise an adhesive layer between the optical signal transmission substrates, the adhesive layer composed of an adhesive agent and electrodes for electrically connecting the electrodes of both substrates.

# BRIEF DESCRIPTION OF THE DRAWINGS

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- Fig. 1 is a perspective view illustrative of the construction of an optical signal transmission device according to Embodiment 1.
- Fig. 2 is a plan view of an optical signal transmission substrate according to Embodiment 1.
- Fig. 3 is a descriptive drawing of combinations of light emitting elements and dight receiving elements according to Embodiment 1.
  - Fig. 4 is a perspective view illustrative of the construction of an optical signal transmission device according to Embodiment 2.

Fig. 5 is a plan view of an optical signal transmission substrate according to Embodiment 2.

Fig. 6 is a side view of the optical signal transmission device according to Embodiment 2.

Fig. 7 is a plan view of an optical signal transmission substrate according to Embodiment 3.

Fig. 8 is a plan view of an optical signal transmission substrate of Example.

Fig. 9 is a variation of a lamination method for the optical signal transmission device.

BEST MODE FOR CARRYING OUT THE INVENTION

Embodiments of this invention are hereinafter explained by referring to the attached drawings.

(Embodiment 1)

Fig. 1 is a perspective view illustrative of the construction of an optical signal transmission device according to Embodiment 1. Fig. 2 is a plan view of an

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optical signal transmission substrate of the optical signal transmission device.

As shown in Fig. 1, the optical signal transmission device of this embodiment is composed by laminating a plurality of optical signal transmission substrates 1 in such a manner that optical signal transmission areas 100 of the respective substrates overlap one another. Fig. 1 shows the lamination structure of five layers in order to simplify the explanation, but there is no limitation to the number of layers. In Fig. 1, the respective optical signal transmission substrates 1 from a first layer to a fifth layer are given reference numerals 11 through 15. Any distance between the optical signal transmission substrates may be allowed as long as it is within the range that enables the transmission of optical signals between a light emitting element and a light receiving element. A plurality of substrates may be laminated by making them in direct contact with one another or by interposing spacers or adhesive layers between them.

In the respective optical signal transmission substrates 11 through 15, a light receiving element DXY of any one of the optical signal transmission substrates 1 is located at the position opposed to a light emitting element

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EXY provided in any one of the other optical signal transmission substrates, where "EXY" means that the relevant element is a light emitting element for transmitting optical signals from a substrate of layer X to a substrate of layer Y, and "DXY" means that the relevant element is a light receiving element for receiving optical signals transmitted from the substrate of layer X to the substrate of layer Y. A path for sending and receiving one optical signal by means of a combination of the light emitting element EXY and the light receiving element DXY is called a "channel." The light emitting element and the light receiving element which belong to the same channel are located in the same row and column in the optical signal transmission areas 100 of any substrates. The location in the optical signal transmission area as specified by row and column is hereinafter called an "address."

Specifically speaking, between the optical signal transmission substrate 1 with the light emitting element EXY provided thereat and the optical signal transmission substrate 1 with the light receiving element DXY provided thereat, the light emitting element EXY and the light receiving element DXY are located at opposed positions in such a manner that they can send and receive optical signals. When another optical signal transmission substrate 1 is held

between the substrate with the light emitting element and the substrate with the light receiving element, such an interposed optical signal transmission substrate 1 is structured to comprise a transmittable window T, which exhibits light transmittability, at the position where the optical signal passes which is transmitted between the light emitting element EXY and the light receiving element DXY of the other substrates. The transmittable window T is located at the same address in the optical signal transmission area 100 as that of the light emitting element EXY and the light receiving element DXY.

Referring to Fig. 1, a light emitting element E14 (for transmitting optical signals from the first layer to the fourth layer) on the optical signal transmission substrate 11 is opposed to a light receiving element D14 (for receiving the optical signals from the first layer to the fourth layer) on the optical signal transmission substrate 14. The optical signal transmission substrates 12 and 13 interposed between these elements have transmittable windows T at the positions where the optical axis passes. Likewise, a light emitting element E51 on the optical signal transmission substrate 15 is opposed to a light receiving element D51 on the optical signal transmission substrate 11. The

interposed between the substrates 11 and 15 have transmittable windows T at the positions where the optical axis crosses the substrate faces.

According to this invention, it is desirable that plural sets of the light emitting elements and the light receiving elements for transmitting the optical signal are placed along the optical axis, of one optical signal. This is because such arrangement enables high-density communication. This is about the case where a plurality of channels exist in the same row and column in the optical signal transmission areas 100. In Fig. 1, a set of a light emitting element E21 on the optical signal transmission substrate 12 and a light receiving element D21 on the optical signal transmission substrate 11 and a set of a light 15 emitting element E35 on the optical signal transmission substrate 13 and a light receiving element D35 on the optical signal transmission substrate 15 are located on the same optical axis, that is, at the same address in the optical signal transmission areas 100.

As shown in Fig. 2, the individual optical signal transmission substrate 1 which composes the optical signal transmission device is structured by comprising the optical signal transmission area 100 at a part of a circuit area

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110. In the optical signal transmission area 100, at least one of a light emitting element EXY for sending optical signals to other optical signal transmission substrates and a light receiving element DXY for receiving optical signals from other optical signal transmission substrates is located so that the optical signals in a direction maintially perpendicular to the substrate surfaces can be sent or received. Moreover, when the optical signal transmission substrate is used as it is interposed between other substrates, such an interposed optical signal transmission substrate is structured in such a manner that a transmittable window T which exhibits light transmittability exists in the optical signal transmission area 100 at the position where the optical signal passes which is transmitted between other optical signal transmission substrates.

There is no limitation to the material or thickness of the optical signal transmission substrate 1. However,

when the substrates are laminated, it is desirable that, for example, a bank or a spacer be provided around the substrate so that electron elements provided on the substrate will not contact other substrates. There is also no limitation to the form of a circuit in the circuit area

110 or the method of forming the circuit. However, it is

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necessary to locate parts of the circuit so that they will not be set beyond the parts maximum height as defined by the bank or the spacer. Specifically speaking, any circuit can be applied, such as processor circuits or memory circuits of electronic computers, or integrated circuits of TFT devices. The light emitting element EXY is the element capable of converting electric signals such as electric currents or voltages into optical signals, and any light emitting element can be applied, such as semiconductor laser (laser diodes), light emitting diodes, or organic electroluminescent (EL) elements. The light receiving element DXY is the element capable of converting the received optical signals into electric signals such as voltages or electric currents, and photoelectric conversion elements such as phototransistors or photodiodes can be used.

The optical signal transmission area 100 is the area where the light emitting element EXY, the light receiving element DXY, and the transmittable window T can be located orderly. For example, the optical signal transmission area 100 is divided into lattice sections and the light emitting element EXY, the light receiving element DXY, and the transmittable window T are located according to the lattice sections. The optical signal transmission area 100 may be composed of materials having such strength as to allow the

placement of the light emitting element EXY and the light receiving element DXY at any positions.

For example, it is possible to form the optical signal - transmission area 100 with a layer made of transparent resin. If the light emitting element and the light receiving element are located by using the transparent resin as a basic material, the portion of the optical signal transmission area 100 excluding the elements exhibits light transmittability. Therefore, it is no longer necessary to actively provide the transmittable window T.

If the optical signal transmission area 100 is formed with a material which is not light transmittable, for example, the same material as that of the circuit area 110, an opening is made at the position corresponding to that of the transmittable window T, or a window capable of transmitting light is formed with resin or glass.

The number of lattice sections of the optical signal 20 transmission area 100 (or the maximum channel number) is determined according to the number of signal channels necessary between substrates. The optical signal transmission area 100 may be located in any area on the substrate and in any shape such as a linear or circular shape 25

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other than a rectangular shape. Moreover, the optical signal transmission area 100 may be dispersed in dots which are located at separate positions within the circuit area 110 where other circuits also exist. The area of the optical signal transmission area is set at a reasonable value in order not to reduce the area of the circuit area 110 more than necessary.

Fig. 3 shows an example arrangement of the light emitting elements EXY, the light receiving elements DXY, and the transmittable windows T when the optical signal transmission area 100 is composed of 20 channels in total as defined by lattice sections of five rows by four columns. The area which does not correspond to any of the light emitting element EXY, the light receiving element DXY, or the transmittable window T may be either light transmittable or not transmittable. Optical signal transmission areas corresponding to the optical signal transmission substrates 11 through 15 from the first layer to the fifth layer are respectively given the reference numerals 101 through 105. Arrows indicate the transmission paths of optical signals in the leftmost column in the drawing. In this drawing, it is assumed that the light emitting from the light emitting elements EXY has the ideal property of travelling in a straight line and, therefore, the light receiving elements

DXY are located adjacent to the light emitting elements EXY. However, when light emitting elements having a poor capability of emitting light in straight lines are used, it is necessary to prevent the generation of crosstalks by locating the light emitting elements and the light receiving elements at positions not adjacent to each other or by providing a layer, which functions as a clad, at the boundaries of the respective optical signal transmission areas.

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It is possible to apply various kinds of methods for manufacturing the above-described optical signal transmission substrates.

abrasion by means of a laser beam is formed on a transparent substrate made of, for example, glass and the optical signal transmission substrate of this invention is then formed in a given pattern over the removable layer. Subsequently, this optical signal transmission substrate is attached to another optical signal transmission substrate with an adhesive agent, and the removable layer is then irradiated with light from the transparent substrate side, thereby causing the transparent substrate to be removed. These

optical signal transmission substrates.

Alternatively, the above-described optical signal transmission substrate is formed through the intermediary of the removable layer over a plurality of transparent substrates, and two such optical signal transmission substrates are then pasted together. Subsequently, these substrates are irradiated with a laser beam from at least one transparent substrate side, thereby causing the transparent substrates to be removed at the removable layer. Another optical signal transmission substrate formed over other transparent substrates is then attached to the surface where the transparent substrates have been removed, and the laser beam is applied to such transparent substrate side, thereby removing the transparent substrates. These steps are repeated by the number of laminations of the optical signal transmission substrates.

By the above-described manufacturing methods, it is possible to laminate a multiplicity of very thin optical signal transmission substrates with certainty. However, the manufacturing methods are not limited to those described above.

transmission area for sending or receiving optical signals in a direction substantially perpendicular to the substrate surface is provided at a part of the substrate. Accordingly, git is possible to provide an easy method of sending and receiving signals between substrates simply by laminating the substrates where the arrangement of the light emitting elements and the light receiving elements is made properly.

Since Embodiment 1 does not employ conductivity, meither a signal delay due to the capacity for wiring or the wiring resistance nor heat generation due to the wiring resistance will occur.

According to Embodiment 1, it is unnecessary to connect the substrates with optical fibers and, therefore, it is possible to reduce the labor required for the connecting work and the cost for the optical fibers.

Since Embodiment 1 can easily realize multiple channels for the signal transmission between the adjacent 20 multilayered substrates, it is the most suitable signal transmission method for high-density and high-speed electronic computers or devices using TFT's.

Since Embodiment 1 employs the sending and reception

of optical signals, it is possible to provide a transmission device which has high noise immunity and which will not generate unwanted emission.

### (Embodiment 2)

Embodiment 2 of this invention relates to an optical signal transmission substrate, which is the substrate of Embodiment 1 additionally provided with power supply electrodes and earth electrodes, and to a device thereof.

Fig. 4 is a perspective view illustrative of the construction of an optical signal transmission device according to Embodiment 2. Fig. 5 is a plan view of an optical signal transmission substrate according to Embodiment 2.

As shown in Fig. 4, the optical signal transmission device according to Embodiment 2 is composed by laminating a plurality of optical signal transmission substrates 2 in 20 such a manner that optical signal transmission areas 100 of the respective substrates overlap one another when shown on a plan view. This optical signal transmission device is different from that of Embodiment 1 in that it has adhesive layers 3 between the substrates. Fig. 4 illustrates the

lamination structure of three layers in order to simplify the explanation. However, there is no limitation to the number of laminations. In Fig. 4, the respective optical signal transmission substrates 2 from the first layer to the third layer are given the reference numerals 21 through 23.

An adhesive layer 3 is composed by bonding a first electrode 31 and a second electrode 32, which are opposed to each other, and the substrate 2 with an adhesive agent 30 and by letting the adhesive agent 30 set. Examples of the adhesive agent 30 include any kinds of resins, for example, adhesives of various setting types which include reactive setting adhesives, thermosetting adhesives, optical setting adhesives such as ultraviolet ray setting adhesives, and anaerobic setting adhesives. As for compositions of such adhesives, any kinds of adhesives such as epoxy type, acrylate type, or silicon type can be applied. For the first electrode 31 and the second electrode 32, any kinds of metals such as aluminum, copper, or gold can be used. The adhesive layer 3 is formed by bonding metal bars in the shape corresponding to the first electrode 31 and the second electrode 32 with the adhesive agent, which is then made to set.

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As shown in Fig. 5, each optical signal transmission substrate 2 which composes this optical signal transmission device comprises a circuit area 110 and an optical signal transmission area 100 similar to those of Embodiment 1. However, the optical signal transmission substrate 2 of Embodiment 2 is different from that of Embodiment 1 in that it comprises a first electrode 121 and a second electrode 122 at least at a pair of the edges of the substrate. It is convenient to form the pattern of the first electrode 10 :121 and the second electrode 122 with the same materials as wiring materials used for the circuit area 110. However, if the relevant optical signal transmission substrate is to be held between the adhesive layers 3, the electrodes need to be formed in such a manner that the substrate exhibits conductivity between the front and back sides thereof.

The arrangement and construction of the light emitting elements EXY, the light receiving elements, DXY, and the transmittable windows T in the optical signal transmission areas 100 in the respective optical signal transmission substrates 21 through 23 are similar to those of Embodiment 1.

Fig. 6 shows a side view of the optical signal transmission device of Embodiment 2. It is structured in

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such a manner that the first electrodes 121 of the respective optical signal transmission substrates 21 through 23 are electrically in contact with the first electrodes 31 of the adhesive layers 3, and that the second electrodes 122 of the respective optical signal transmission substrates 21 through 23 are electrically in contact with the second electrodes 32 of the adhesive layer 3. The optical signal transmission device is designed to commonly wire power supply electrodes and earth electrodes by laminating the optical signal transmission electrodes 2 and the adhesive layers 3 alternately.

There are various possible methods for manufacturing the optical signal transmission device according to Embodiment 2.

If the optical signal transmission substrate 2 is sufficiently thick and is portable, it is possible to manufacture the optical signal transmission substrate by the following steps of:

- (1) connecting the first electrode 31 and the second electrode 32 to the optical signal transmission substrate 2 and applying the above-described resin to the electrodes, thereby forming the adhesive layer 3;
- 25 (2) pasting another optical light transmission substrate

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2 onto the above-obtained adhesive layer and then forming another adhesive layer 3 over the substrate; and

(3) repeating the above-described steps a necessary number of times, thereby composing the optical signal transmission device.

If the optical signal transmission substrate 2 is very thin and is not portable by itself, it is possible to manufacture the optical signal transmission substrate by the following steps of:

- (1) forming the removable layer over a base made of glass or the like;
- (2) forming a circuit corresponding to the optical signal transmission substrate 2 over the removable layer;
- (3) after the formation of the optical signal transmission substrate 2, forming the adhesive layer 3 thereon;
- (4) irradiating the back of the base with a laser beam or the like to cause the abrasion to occur at the removable layer, thereby detaching a combination of the optical signal transmission substrate 2 and the adhesive layer 3 from the above laminated layers; and
  - (5) laminating a necessary number of the above-mentioned combination, thereby composing the optical signal transmission device.

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The abrasion at the removable layer may be caused by applying the laser beam before the formation of the adhesive layer 3 and by exerting forces after the formation of the adhesive layer 3.

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Embodiment 2 can achieve the effects similar to those of Embodiment 1. Moreover, since the substrates are commonly provided with the electrodes, the connection with power sources or earth terminals is easy.

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According to Embodiment 2, the substrates are pasted together with the adhesive layers and, therefore, it is easy to form the multilayered structure and it is possible to provide a strong optical signal transmission device.

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#### (Embodiment 3)

Embodiment 3 of this invention relates to a variation example of the optical signal transmission substrate

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Fig. 7 shows a plan view of an optical signal transmission substrate according to Embodiment 3. As shown in Fig. 7, an optical signal transmission substrate 4 according to Embodiment 3 is formed in a complicated pattern

where a first electrode 421 and a second electrode 422 extend toward an optical signal transmission area 400 and a circuit area 410. This electrode pattern is formed by patterning the electrodes of the optical signal transmission substrate 4 in the shape required for the optical signal transmission area 400 and the circuit area 410 and by applying a normally used method of forming a wiring pattern.

As for the construction of an optical signal transmission device which uses this optical signal transmission substrate 4, light emitting elements EXY and light receiving elements DXY may be located in the manner similar to those of Embodiments 1 and 2. It is also possible to use the adhesive layers 3 of Embodiment 2 for the attachment of the substrates.

Embodiment 3 can achieve the effects similar to those of Embodiment 1. Moreover, since the patterning is employed for the electrodes of the substrates, it is easy to connect the circuit elements with power source electrodes and earth electrodes and it is also effective as a means of preventing unwanted emission.

(Example)

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As an example of Embodiment 2, a simulation of an optical signal transmission substrate as shown in the plan view of Fig. 8 was performed. The first electrode 121 was used as a power source (Vdd) electrode and the second electrode 122 was used as an earth (GND) electrode. An X driver circuit 111 for supplying power in the row direction (X-axis direction) of the optical signal transmission area 100 and a Y driver circuit 112 for selecting the column direction (Y-axis direction) of the optical signal transmission area 100 were provided. The circuit area was provided with a logic circuit 113 which comprises a memory circuit 114. As the logic circuit, TFT's were integrated. The specification of this Example is as follows:

- Number of substrate laminations: 1,000 sheets

  Thickness of the substrate and the adhesive layer: approximately 10  $\mu$ m/set
- Number of addresses (i.e., number of lattice sections):

  1000 sheets X approximately 106 (integrated number per substrate)
- 25 Area of the address:  $50 \times 50 \ \mu\text{m}^2 = 2.5 \times 10^3 \ \mu\text{m}^2$ Area of the optical signal transmission area:

  area of the address × integrated number =  $25\text{cm}^2$
- Outer dimensions of the optical signal transmission substrate:

7 cm (length) × 14 cm (width) = 98 cm<sup>2</sup>

Manufacturing cost per area: ¥20/cm<sup>2</sup>

Manufacturing cost per substrate: \pm2,000

Manufacturing cost of the device: \pm2,000,000

Area of one TFT:  $4 \mu m^2$ 

10

Number of TFT's per substrate: approximately  $9 \times 10^8 = 16$  pieces

(Other Variations)

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This invention can be applied in many variations without limitation to the above-described embodiments. For example, the outlines of the optical signal transmission substrate, the optical signal transmission area, and the electrodes, as well as the arrangement of the optical signal transmission area are merely examples.

Regarding the optical signal transmission device, when the optical signal transmission substrates are placed one over another in parallel with the surface perpendicular to the optical axis of optical signals, the optical signal transmission areas should only overlap one another so as to make the respective addresses correspond to one another, and the substrates themselves should not necessarily

overlap in their entirety. For example, as shown in Fig. 9, even if the arrangement of the optical signal transmission area is different in each substrate, the signal transmission is possible as long as the optical signal transmission areas rightly overlap one another.

### INDUSTRIAL APPLICABILITY

In this invention, the substrates to be laminated are provided with the optical signal transmission areas and also with sets of the light emitting elements and the light receiving elements for enabling the transmission of optical signals between the substrates. Accordingly, it is possible to eliminate the man-hours required for the wiring work and the costs for wiring. Such a construction enables high-density packaging and high-speed communication.

#### What is claimed is:

- An optical signal transmission substrate for 1. transmitting an optical signal, comprising an optical signal transmission area where at least one of a light emitting element for sending the optical signal to other optical signal transmission substrates or a light receiving element for receiving the optical signal from other optical signal transmission substrates is located so as to be capable of sending or receiving the optical signal in a direction substantially perpendicular to a surface of the substrate.
- 2. An optical signal transmission substrate according to claim 1,

wherein the optical signal transmission substrate is used as it is held between the other optical signal transmission substrates, and

wherein the optical signal transmission area comprises a transmittable window exhibiting light 20 transmittability at the position where the optical signal transmitted between the other optical signal transmission substrates passes through.

25 An optical signal transmission substrate 3.

according to claim 1, comprising electrodes at least at a pair of the edges of the substrate.

An optical signal transmission device composed by laminating a plurality of the optical signal transmission substrates as stated in any of claims 1 through 3 in such a manner that the optical signal transmission areas of the respective substrates overlap one another,

wherein the light receiving element is located in any one of the optical signal transmission substrates so as to be opposed to the light emitting element provided in any one of the other optical signal transmission substrates.

- 5. An optical signal transmission device according to claim 4, wherein the optical signal transmission substrate held between the optical signal transmission substrate provided with the light emitting element and the optical signal transmission substrate provided with the light receiving element comprises a transmittable window exhibiting light transmittability at the position where the optical signal to be transmitted between the light emitting element and the light receiving element passes through.
- An optical signal transmission device according to claim 4, wherein plural sets of the light emitting element · 11 .

and the light receiving element for transmitting the optical signal are located along the optical axis of one optical signal.

ε:

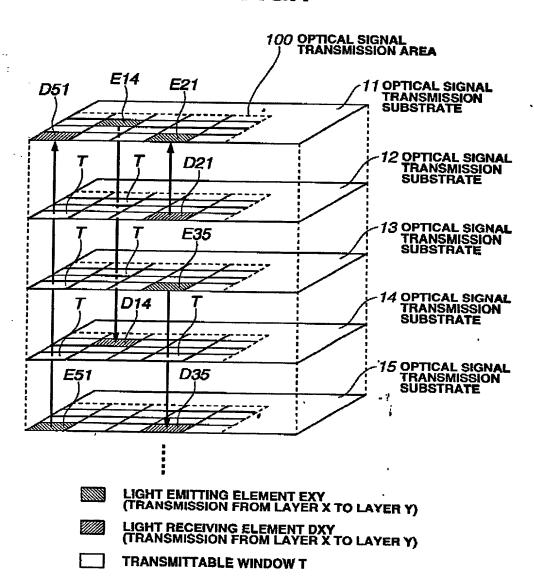
7. An optical signal transmission device according to claim 4, comprising an adhesive layer between the optical signal transmission substrates, the adhesive layer being composed of an adhesive agent and electrodes for electrically connecting the electrodes of both substrates.

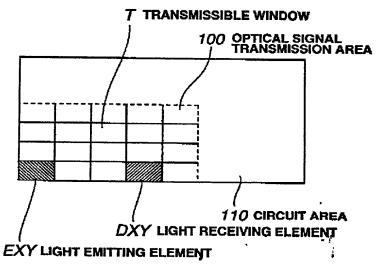
#### ABSTRACT

An individual optical signal transmission substrate

1 comprises an optical signal transmission area 100 where

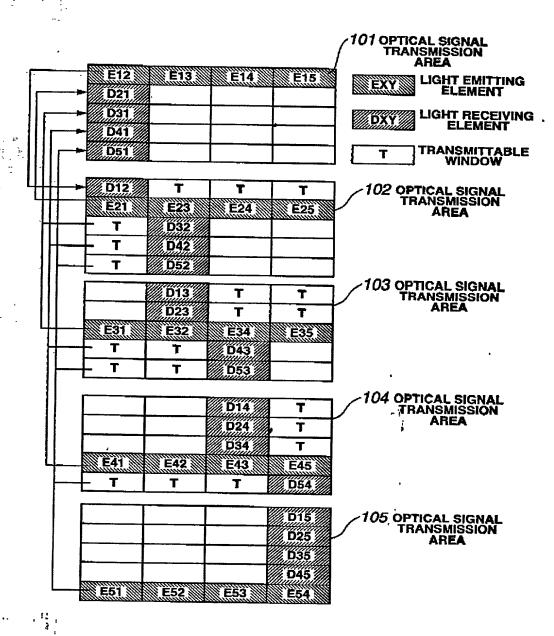
at least one of a light emitting element E for sending an
optical signal to other optical signal transmission
substrates or a light receiving element D for receiving an
optical signal from other optical signal transmission
substrates is located so as to be capable of sending or
receiving the optical signal in a direction substantially
perpendicular to the surface of the substrate. When a
plurality of such substrates are laminated one over another,
the light receiving element is located in any one of the
optical signal transmission substrates so as to be opposed
to the light emitting element provided in any one of the
other optical signal transmission substrates, thereby
composing an optical signal transmission device.





1 : OPTICAL SIGNAL TRANSMISSION SUBSTRATE

FIG.3



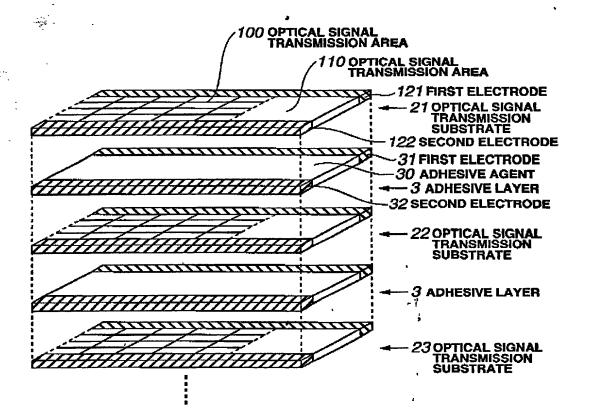
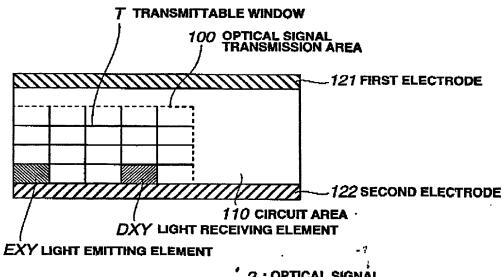
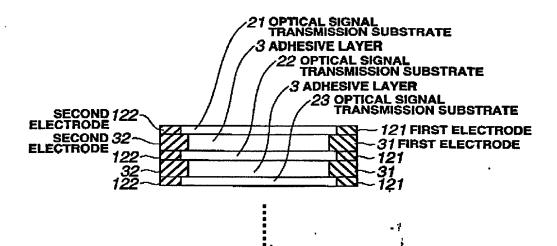
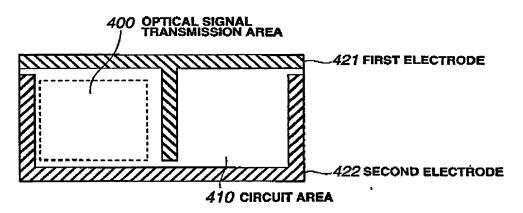


FIG.5

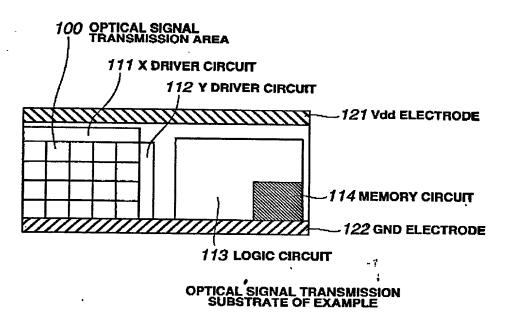


2: OPTICAL SIGNAL TRANSMISSION SUBSTRATE



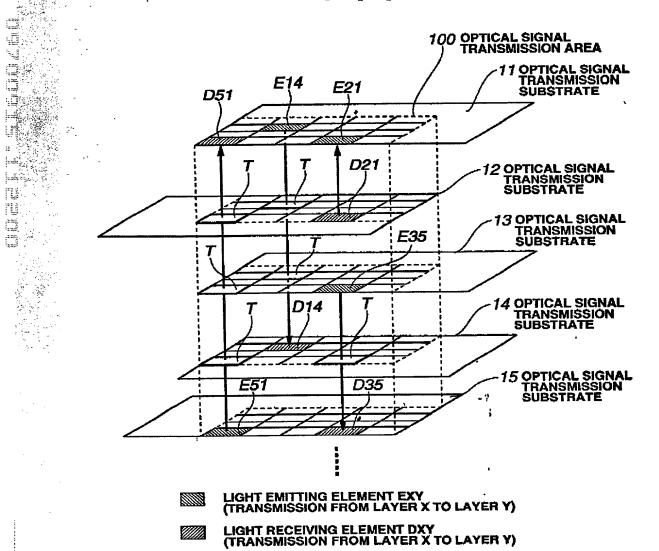


4: OPTICAL SIGNAL TRANSMISSION SUBSTRATE



, j

## FIG.9



TRANSMITTABLE WINDOW T

**Priority Not Claimed** 

## Japanese Language Declaration

(日本語宣言書)

私は、米国法典第35編119条(a) - (d)項又は365条(b)項に基き下記の、米国以外の国の少なくとも1ヶ国を指定している特許協力条約365条(a)項に基づく国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

Prior Foreign Application(s)

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)–(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

国での先行出願			優先権主張なし
11-077753(P)	Japan	23/March/1999	П
(Number) (番号)	(Country) (国名)	(Day/Month/Year Filed) (出願年月日)	. 🗀
(Number)	(Country)	(Day/Month/Year Filed)	
(番号)	(国名)	(出願年月日)	
私は、第35編米国法典1 F出願規定に記載された権利	19条( e )項に基いて下記の米国特 をここに主張いたします。		der Title 35, United States Code, I States provisional application(s)
(Application No.) (出願番号)	(Filing Date) (出願日)	(Application No.) (出願番号)	(Filing Date) (出願日)

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PCT/JP00/0178723/March/2000Pending(Application No.)<br/>(出願番号)(Filing Date)<br/>(出願日)(Status: Patented, Pending, Abandoned)<br/>(現況:特許許可済、係属中、放棄済)(Application No.)<br/>(出願番号)(Filing Date)<br/>(出願日)(Status: Patented, Pending, Abandoned)<br/>(現況:特許許可済、係属中、放棄済)

私は、私自身の知識に基づいて本宣言書中で私が行なう表明が 真実であり、かつ私が入手した情報と私の信じるところに基づく 表明が全て真実であると信じていること、さらに故意になされた 虚偽の表明及びそれと同等の行為は米国法典第18編第1001 条に基づき、罰金または拘禁、もしくはその両方により処罰され ること、そしてそのような故意による虚偽の声明を行なえば、出 願した、又は既に許可された特許の有効性が失われることを認識 し、よってここに上記のごとく宣誓を致します。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Seiko Epson Ref. No.: F005104US00

Attorney's Ref. No.:

### Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

### Japanese Language Declaration

日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は、下記の私の氏名の後に記載された 通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願して いる発明内容について、私が最初かつ唯一の発明者(下記の氏名 が一つの場合)もしくは最初かつ共同発明者であると(下記の名 称が複数の場合) 信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

#### 光信号伝送基板および装置

OPTICAL SIGNAL TRANSMISSION SUBSTRATE AND DEVICE

上記発明の明細書(下記の欄で×印がついていない場合は、本 書に添付)は、

the specification of which is attached hereto unless the following box is checked:

に提出さ	れ、米国出願番号また	たは
特許協定条約「	国際出願番号を	_ とし、
(該当する場合)	に訂正され	ました。

was filed on
as United States Application Number or
PCT International Application Number
and was amended on
(if applicable)

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容 を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

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I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Page 1 of 3

## Japanese Language Declaration

(日本語宣言書)

委任状: 私は、下記の発明者として、本出願に関する一切の手 続きを米特許商標局に対して遂行する弁理士または代理人とし て、下記の者を指名いたします。(弁護士、または代理人の氏名 及び登録番号を明記のこと)

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Page 3 of 3